



Risk Consulting and Financial Services Advisory

[www.mnkriskconsulting.com](http://www.mnkriskconsulting.com)

## Continuous Professional Development (CPD) Training Courses for Regulated Investment Services Professionals

### WEBINARS FOR NOVEMBER - DECEMBER 2022

*“Russian Sanctions and their impact in Professional Services in Cyprus  
and the Developments of EU 6<sup>th</sup> AML Directive”*

*Instructor: Mrs. Myrianthi Papagianni*

**Wednesday, 30<sup>th</sup> November 2022, 08:45 – 14:30**

*“Environmental Social Governance (ESG) - Understanding –  
Monitoring and Supervision - The Road Ahead”*

*Instructor: Mrs. Eleni Antoniou*

**Thursday, 1<sup>st</sup> December 2022, 08:45 – 14:30**

*“Alternative Investment Funds - Regulations”*

*Instructor: Dr. George Karvounis*

**Friday, 9<sup>th</sup> December 2022, 08:45 – 14:30**

*Selected webinars marked by ‘#’ are qualified by HRDA and enjoy a subsidy of €102; this means net investment is €48 + VAT for webinars marked by ‘#’*

PLACE

**ON-LINE via MS TEAMS**

**LOGISTICS****WHY SHOULD ATTEND**

<b>Dates:</b>	30 <sup>th</sup> November 2022 01 <sup>st</sup> December 2022 09 <sup>th</sup> December 2022	<ul style="list-style-type: none"><li>▪ To receive the required continuous professional development training and renew registration in the CySEC Public Register of Certified Persons;</li><li>▪ To gain insight on the latest EU and Cyprus regulatory developments;</li><li>▪ To be exposed to cutting-edge risk measurement methodologies helping you manage your risks pro-actively;</li><li>▪ To expand the knowledge and competence of employees in the investment services sector.</li></ul>
<b>Time:</b>	08:45 – 15:30 (for HRDA eligible webinars marked by “#”) 08:45 – 14:30	
<b>Duration:</b>	5-6 teaching hours per day (5-6 CPD Units – 6 hours/CPD units apply to HRDA eligible webinars marked by “#”)	
<b>Language:</b>	English	
<b>Venue:</b>	On-line via MS TEAMS	
<b>Attendance fee:</b>	<b>€150 + VAT per day</b>	
<b>Discount:</b>	<ul style="list-style-type: none"><li>✓ <b>10% discount on the total fee shall apply for 3 or more registrations from the <i>same company</i></b></li><li>✓ <b>For individuals who register in 2 or more webinars will enjoy a 10% discount on the total fee</b></li><li>✓ <b>HRDA subsidy for all HRDA-approved webinars</b></li><li>✓ <b>Above discounts can be combined.</b></li></ul>	

*Fees include training material softcopy*

## WHO SHOULD ATTEND

- Holders of CySEC Basic / Advanced Certification;
- Risk Managers
- Compliance Officers;
- Anti-Money Laundering Compliance Officers;
- Brokers;
- Treasurers & Middle Office;
- Investment Fund Managers;
- Portfolio Managers & Asset Managers;
- Investment Advisors;
- Dealers;
- Brokerage Houses;
- Banks;
- Investment Funds (AIFs, UCITs);
- Payment & Electronic Money Institutions;
- Financial Services Providers;
- Administrative & Fiduciary Services Providers;
- Liquidity Providers & Market Makers.

## TRAINING METHOD

- Practical examples, case studies and illustrations will be provided;
- Going behind the relevant law provisions, understanding their rationale and how to apply and make them work for you;
- The courses will be presented by professionals with diverse backgrounds and extensive international experience and expertise in the banking and financial services sector;
- All participants will be given a softcopy of the training material.

*Note:*

**Attendance Certificates will be awarded to all registered participants.**

## **INSTRUCTORS**

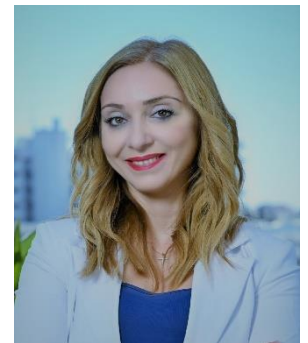
### ***DR. GEORGE KARVOUNIS***

Dr. George Karvounis has 17 years of experience in the financial sector. He has worked as Risk Analyst and Consultant at leading international consulting firms, banks and funds in London and Cyprus, including EY, ABN AMRO, Bank of America/Merrill Lynch. Over the last 7 years, George is advising Cyprus Investment Firms (CIFs), AIFMs, UCITS, AIFs/RAIFs for Risk and Portfolio Management focusing on policies, procedures, and regulatory reporting. George is also managing portfolios for Pension and Provident funds, for both local and international providers. George has a PhD and M.Phil. in Theoretical Chemistry from Cambridge University, he is a holder of the Professional Risk Manager (PRM™) Designation and he is appointed as non-Executive Board member in regulated entities.



### ***MRS. ELENI ANTONIOU***

Mrs. Eleni Antoniou is the Data Protection Officer at Areti Charidemou LLC. Eleni works for the Law Firm more than 7 years, and the last 3 is acting as a Data Protection Specialist for organisations in Europe, Dubai and U.K. Eleni holds a BSc from the Kapodistrian University of Athens and she has been certified as a Data Protection Officer. She is a Member of EADPP European Association of Data Protection Professionals. She is also Instructor for GDPR Seminars with more than 12 years of experience in trainings and education, while she is also the author of several articles published in professional journals.



### ***MRS. MYRIANTHI PAPAGIANNI***

Mrs. Myrianthi Papagianni obtained her LLB from Leeds Metropolitan University in 2007 and her LLM in International Commercial Law at the University of Leicester in 2009. Myrianthi is a member of the Cyprus Bar Association since 2009. She has significant experience in Compliance matters and Compliance Officers' duties and responsibilities. She has attended various AML seminars through the years, remaining up to date for the changing AML landscape and the challenges ahead.



## RUSSIAN SANCTIONS AND THEIR IMPACT IN PROFESSIONAL SERVICES IN CYPRUS AND THE DEVELOPMENTS OF EU 6TH AML DIRECTIVE

*Wednesday, 30<sup>th</sup> November 2022*

**Instructor: Mrs. Myrianthi Papagianni**

**08:45 – 09:00 Registration**

- 09:00 – 11:00**
- Introduction on the rising cost of Compliance and Cost of Non-Compliance
    - Cost of Compliance – Cost of non – Compliance
    - Fines and administrative penalties

**11:00 – 11:15 Break**

- 11:15 – 13:15**
- Sanctions Compliance and Main Challenges
    - Overview of EU Sanctions
    - Types of EU Sanctions
    - EU Prohibitions and main Challenges
    - Other Sanctions Regimes (US, UK)
    - Risk Assessment & Background Checks – Technology’s Main Role
    - Sanctions Compliance Program (SCP) and trainings
    - Case Studies

**13:15 – 13:30 Break**

- 13:30 – 14:30**
- The EU 6th AML Directive – What Changes in The Fight Against Money Laundering
    - The key changes arising from EU Directive
    - Definition of criminal activities to be considered as predicate offences
    - Money Laundering offences punishable as criminal offences
    - Stricter penalties for individuals
    - Extension of liability and sanctions for legal persons
    - Increased Cooperation between Member States
  - Q&A

## ENVIRONMENTAL SOCIAL GOVERNANCE (ESG) - UNDERSTANDING - MONITORING AND SUPERVISION - THE ROAD AHEAD

*Thursday, 01<sup>st</sup> December 2022*

**Instructor: Mrs. Eleni Antoniou**

**08:45 – 09:00**

**Registration**

**09:00 – 11:00**

- Introduction – ESG and the relationship with the Sustainable Development Goals of the United Nations General Assembly
- A historical evolution- the Necessity of establishing a Regulation

**11:00 – 11:15**

**Break**

**11:15 – 13:15**

- The fundamentals and the Objectives of ESG Regulatory landscape
  - Transparency and the upcoming requirements
  - Obligations

**13:15 – 13:30**

**Break**

**13:30 – 14:30**

- ESG Data ecosystem
- ESG: a discussion about the risks and the opportunities ahead
- ESG: building values
- Q&A

## ALTERNATIVE INVESTMENT FUNDS - REGULATIONS

*Friday, 9<sup>th</sup> December 2022*

**Instructor: Dr. George Karvounis**

**08:45 – 09:00**

### **Registration**

**09:00 – 11:00**

- The Cross-border distribution of funds Regulation
  - Guidelines and Timelines
  - Basic requirements of the Regulation
  - Pre-Marketing rules
- Marketing Communications Regulation
  - Scope & Purpose
  - Summary of Key requirements
  - Compliance and Reporting Obligations
  - Marketing of AIF units in EU and Third countries

**11:00 – 11:15**

### **Break**

**11:15 – 13:15**

- Identification of marketing communications
  - Fair, clear and not misleading character
  - General Requirements
  - Risk and Rewards presentation

**13:15 – 13:30**

### **Break**

**13:30 – 14:30**

- KID and KIID
  - Cost information and presentation
  - Past & Future Performance information
  - Information on sustainability-related aspects
  - Examples
- Initial capital and own fund calculation
- Organizational requirements (incl. the delegation of functions)
- Liquidity management
- Ability to offer non-core services (for reception and transmission of orders)
- Q&A

## REGISTRATION

You can complete the Registration Form (on the last page) and have it sent together with the payment receipt to:

Email address: [stella.stylianou@mnkriskconsulting.com](mailto:stella.stylianou@mnkriskconsulting.com)

Places are reserved and training material softcopies provided upon payment.

Last date for registration: 30 calendar days prior to the training course date

\*\*\*Last date for cancellation: 20 calendar days prior to the training course date\*\*\*

For late cancellation 40% of the webinar's cost will be charged. Substitutions are welcome at any time without any cancellation charge. M.N.K Risk Consulting reserves the right to change or cancel the dates of the programme as well as the contents. 100% refund in case of cancellation of the course by M.N.K Risk Consulting.

## PAYMENT METHOD

Please transfer your registration fee amount to our eCREDO payment account:

**Account Name:** M.N.K RISK CONSULTING LIMITED

**eCREDO SWIFT:** PFSRIE21

**IBAN:** IE17PFSR99107009360928

## CERTIFICATES

All participants will be awarded a certificate of participation of attendance.

Each hour of attendance will account for one (1) unit of CPD.

## OUR CONTACT DETAILS

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21 Vasili Michailidi Str., 3026, Limassol, Cyprus

**Tel:** +357 25 508201

**Email:** [stella.stylianou@mnkriskconsulting.com](mailto:stella.stylianou@mnkriskconsulting.com)

**Web:** [www.mnkriskconsulting.com](http://www.mnkriskconsulting.com)



**CONTINUOUS PROFESSIONAL DEVELOPMENT (CPD) TRAINING COURSES FOR  
REGULATED INVESTMENT SERVICES PROFESSIONALS**

**REGISTRATION FORM**

<b>Available Webinars:</b>	<b>I wish to apply for the following webinar(s):</b>
<p align="center"><b><u>30<sup>th</sup> November 2022</u></b>  “Russian Sanctions and their impact in Professional Services in Cyprus and the Developments of EU 6<sup>th</sup> AML Directive”</p>	
<p align="center"><b><u>01<sup>st</sup> December 2022</u></b>  “Environmental Social Governance (ESG) - Understanding - Monitoring and Supervision - The Road Ahead”</p>	
<p align="center"><b><u>09<sup>th</sup> December 2022</u></b>  “Alternative Investment Funds - Regulations”</p>	

PARTICIPATION DETAILS:	
Full Name:	
Gender:	
Job Title:	
Duties & Responsibilities:	
CySEC Certification Number (if applicable)	
E-mail address:	
Qualifications: (insert with a ✓ or ×)	BSc <input type="checkbox"/>
	BA <input type="checkbox"/>
	MSc <input type="checkbox"/>
	PhD <input type="checkbox"/>
	ACA <input type="checkbox"/>
	ACCA <input type="checkbox"/>
	CFA <input type="checkbox"/>
Why you should attend: (insert with a ✓ or ×)	CPD Units <input type="checkbox"/>
	Networking Opportunities <input type="checkbox"/>
	Learning Something New <input type="checkbox"/>
	Becoming an Expert <input type="checkbox"/>
	Gaining Inspiration <input type="checkbox"/>
Company Name:	
Activities of company:	
Size of company (employees): (insert with a ✓ or ×)	Small (1-50 employees) <input type="checkbox"/>
	Medium (51-250 employees) <input type="checkbox"/>
	Large (> 251 employees) <input type="checkbox"/>
Address:	
Telephone:	
Fax:	
E-mail:	

Date: .....

Signature: .....

Please send your completed Registration Form with the payment receipt to e-mail address:  
[stella.stylianou@mnkriskconsulting.com](mailto:stella.stylianou@mnkriskconsulting.com)